



**SOUTH AFRICAN DIAMOND AND
PRECIOUS METALS REGULATOR**

251 Fox Street
JOHANNESBURG 2001, SOUTH AFRICA
Entrance: Cnr Greene & Main Streets (Jewel City)

P. O. Box 16001, Doornfontein 2028 – South Africa
Tel (011) 223 7000 Fax (011) 334-8898
info@sadpmr.co.za

FORM PMC

Application No: _____

APPLICATION FOR CERTIFICATE

[In terms of sections 4(1)(d) or 5(1)(d) of the Precious Metals Act, 2005 (Act 37 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentation accompanies the application.
7. The application must be submitted to the operational business premises of the Regulator.

Part A : Particulars of applicant

1. In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s): _____

- (iii) Identity number: _____

*(A certified copy of the applicant's identity document must be attached.)

(iv) Has the applicant ever been convicted of any criminal offence in terms of the Act or any other Act? (Yes/ No) If yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC _____ Partnership/Joint venture _____
Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC: _____

(iii) Full names and identity number of managing director or member:

(iv) Particulars of interest held (%) in the juristic person and name of the holder of the controlling interest:

(v) An indication of the precious metals to be acquired or disposed of:

(vi) Source from which precious metals will be obtained:

(vii) Period for which a certificate is required:

(viii) Details of applicant's financial status:

(ix) Details of the target market and market requirements:

*(In case of a company or close corporation, a certified copy of a certificate of incorporation must be attached.)

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. (a) Business address:

- (i) Building name: _____
- (ii) Building number: _____
- (iii) Street number: _____
- (iv) Street name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Postal code: _____
- (viii) Province: _____
- (ix) Country: _____
- (x) Telephone number: _____
- (xi) Fax No: _____
- (xii) Cellphone No: _____
- (xiii) Email address: _____

(b) Relevant postal address:

- (i) Postal address: _____

- (ii) Town/City: _____
- (iii) Postal code: _____

(Attach documentary proof of the registered business premises.)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the applicant's identity document, if applicable.
2. A certified copy of the certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of the relevant resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's registered business premises.
5. Documentary proof of the applicant's financial ability or access to appropriate financial assistance.
6. The applicant's business plan, inclusive of measures to comply with the broad-based socio-economic empowerment charter developed in terms of section 100 of the Mineral and Petroleum Resources Development Act, 2002 (Act 28 of 2002).
7. A police clearance certificate.

8. A tax clearance certificate.
9. A non-refundable prescribed application fee.

PART C: DECLARATION

I, the applicant, _____, hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____

SIGNATURE OF APPLICANT/REPRESENTATIVE (IF APPLICABLE)